

Fondo de Cooperación para Agua y Saneamiento

Cooperation Fund for Water and Sanitation

Practical checklist for the application of human rights
to water and sanitation in development projects

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Implementation and monitoring of Human Rights to Water and Sanitation and SDG6

Spanish Cooperation: strong commitment to the human rights to water and sanitation.

3 strategic lines: IWRM, access to W&S, water governance and HRWS .

- ✓ Directing **investments** to most vulnerable population.
- ✓ **Policies, regulations and investment plans** that integrate and give visibility to the effective practice of HRWS.
- ✓ Duty-bearers: **promote State's responsibility.**
- ✓ Establishing **indicators related to HRWS** in the monitoring of programmes
- ✓ **Institutional and community development.**
- ✓ **Crosscutting issues:** Gender equity, indigenous communities, environment and climate change.



Implementation and monitoring of Human Rights to Water and Sanitation and SDG6

Practical checklist for the application of human rights to water and sanitation in development projects.

Objective: self-diagnosis tool to verify compliance with the HRWS in development projects.

Types of indicators:

- ✓ **cross-cutting principles of human rights:** universality, non-discrimination and equity; participation, access to information and accountability; sustainability
- ✓ **normative criteria for HRWS:** availability, accessibility, quality, acceptability, affordability
- ✓ **questions relating to duty-bearers:** verify whether States are meeting their obligations, and whether the project is promoting State's responsibility.

Spanish Agency for International Development Cooperation (AECID)

CHECKLIST

Guidelines on respect for the Human Right to Water and Sanitation in development projects



FCAS Fondo de Cooperación para Agua y Saneamiento

MINISTERIO DE ASUNTOS EXTERIORES Y DE COOPERACIÓN

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Cooperación Española

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The indicator refers to the areas of:

- Duty-bearers
- Responsibility-bearers
- Rights-holders
- Several holders

INDICATORS	Other criteria to which it applies	Rural/urban setting	Water/sanitation sector	Assessment	Comments / reasons	Corrective measures
Universality, non-discrimination, and equity: Water and sanitation services must be guaranteed for all people, with a special focus on the most vulnerable and marginalized sectors of the population						
1 If a legal framework exists (e.g. constitution, national strategies, action plans) that recognizes HRWS, duty-bearers and rights-holders, prioritizing supply of water for human use and sanitation over other uses (e.g. irrigation, energy resources), is the project aligned with said framework? If no such framework exists, does the project include any significant actions that are subject to normative frameworks, programme frameworks or budget frameworks, focused on guaranteeing HRWS?	All criteria	Both	Both			
2 If a national policy exists with specific time horizons to achieve the expansion of water and sanitation services, particularly prioritizing the poorest areas or rural settings, is the project aligned with said policy? If no such policy exists, have actions been included in the project to achieve this expansion?	Universality/non-discrimination/equity	Both	Both			
3 Does the project include rendering advisory services to the relevant organizations (municipal councils or other decentralized bodies) for capacity-building and development of instruments enabling them to fulfil their duties? <i>For example, inclusion of procedures enabling greater equity in budget allocation, prioritized use of water, creation of a regulatory framework concerning management of water resources, and other matters relating to HRWS.</i>	All criteria	Both	Both			
4 If there is a plan at the local level that prioritizes the most vulnerable groups, is the project aligned with said plan? If no such plan exists, does the location of the project comply with a needs-based analysis, prioritizing locations where the vulnerable population is larger (according to levels of poverty and other social indicators)?	Universality/non-discrimination/equity	Both	Both			
5 Have actions been included that aim to establish the means to fully develop the institutions and initiatives of native peoples, and, if appropriate, to provide the resources required for this purpose, ensuring their effective representation in water and sanitation?	Universality/non-discrimination/equity	Rural	Both			

Version 1-2016 / Page 6

Structure:

- ✓ HR criteria
- ✓ Rural/urban
- ✓ Water/sanitation
- ✓ Assessment
- ✓ Comments
- ✓ Corrective measures



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INDICATORS	Other criteria to which it applies	Rural/urban setting	Water/sanitation sector	Assessment	Comments / reasons	Corrective measures
<p>Participation, access to information, and accountability: All people have the right to participate in drafting and planning water and sanitation policies, as a basic condition to exercise this right. There is a right to request, receive and disseminate information, and a right to transparency in management and investment processes. Moreover, judicial resources or resources of another nature must be established to compensate victims of violations of human rights</p>						
<p>12 If there is political will from public entities, does the project ensure their participation and involvement in implementation of the project? For example: in the process of reviewing designs and tendering work, supervision of execution, work guarantee and post-investment. If no such political will exists, does the project include actions to favour it?</p>	Participation, information and accountability	Both	Both			
<p>13 Are municipal strategic planning and investment planning concerning water and sanitation undertaken in a participatory manner? If not, does the project include facilitation of participatory spaces for drafting and monitoring?</p>	Participation, information and accountability	Both	Both			
<p>14 Are actions undertaken to improve information systems of municipal councils or other entities of decentralized government, as regards municipal water and sanitation? For example: Consolidated information on investment in water and sanitation; disaggregated data on the unmet population by gender, ethnicity, location, age, religion, etc.</p>	Universality, equality and non-discrimination	Both	Both			
<p>15 Is participation by operators factored into the design, planning and sustainability planning for the project and in the areas for relations with public institutions, to ensure that the system is sustainable?</p>	Sustainability	Both	Both			
<p>16 Is the active participation of the beneficiary population taken into account, with equality between men and women, and particularly the native peoples or other vulnerable groups through participatory methods, to ensure that their opinions are taken into account in the design and planning of project activities? For example: Participation in design: technological solutions, location, tariff design, selection of the steering committee, community co-financing of systems. Participation in project planning: prior informational assemblies, activity timelines, assignment of maintenance tasks, execution of infrastructure.</p>	Universality, equality and non-discrimination	Rural	Both			

Version 1-2014 / Page 8

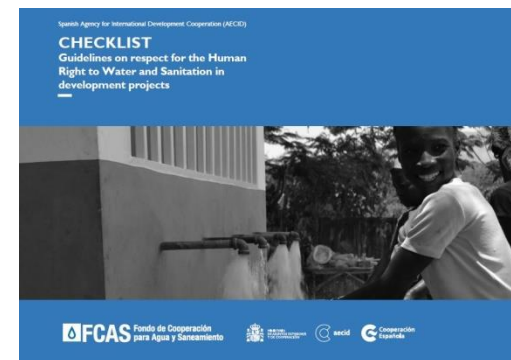
Structure:

- ✓ HR criteria
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Monitoring: HRWS indicators



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Thank you!

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